



MHPLIC 27FEB'15AM8:27

February 11, 2015

#### FILED ELECTRONICALLY AND VIA OVERNIGHT MAIL

New Hampshire Public Utilities Commission Debra Howland, Executive Director 21 South Fruit Street, Suite 10 Concord, N.H. 03301-2429

Re: Application of EPIQ Energy, LLC as a Natural Gas Aggregator

Dear Ms. Howland:

Pursuant to Section Puc 3003.04, please find enclosed the application of EPIQ Energy, LLC, for initial registration as a natural gas aggregator in New Hampshire.

Enclosed within is the requisite original application as well as two copies. In accordance with New Hampshire Public Utilities Commission rules this application has also been filed electronically.

By this letter, we also state our compliance with Section Puc 3003.04 (a), in that we have not enrolled any customers, arranged to sell or to contract with customers, or solicited specific customers for such purposes, in New Hampshire.

Please also find attached a check for the required registration fee in the amount of \$250.00, made payable to the State of New Hampshire.

Please do not hesitate to let me know if you have any questions or concerns about this application.

Sincerely,

Debra W Aaron Sr. VP Finance and Accounting





New Hampshire Public Utilities Commission (NHPUC) Natural Gas Aggregator Application EPIQ Energy, LLC

 The legal name of the applicant as well as any trade name(s) under which it intends to operate in this state:
 EPIQ Energy, LLC

(2) The applicant's business address, telephone number, e-mail address and website address, as applicable:
 5080 Spectrum Drive, Ste. 600W
 Addison, TX 75001
 214-637-2400
 <u>compliance@epiqenergy.net</u>
 <u>www.epiqenergy.biz/limited</u>

(3) The name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant if an individual or of the applicant's principal(s) if anything other than an individual:

Alex Rodriguez, CEO 5080 Spectrum Drive, Suite 600W Addison, TX 75001 214-637-2400

Geoffrey Rochwarger, President 550 Broad Street Newark, NJ 07102 973-438-3186

Alan Schwab, COO 550 Broad Street Newark, NJ 07102 973-438-3186 Terrence Stronz, CFO 20 West 3<sup>rd</sup> Street, PO Box 400 Jamestown, NY 14702 973-438-7263

Joyce Mason, Secretary 550 Broad Street Newark, NJ 07102 973-438-3186

Debra Aaron, Senior Vice President of Finance and Operations 5080 Spectrum Drive, Suite 600W Addison, TX 75001 214-637-2400





(4) The telephone number of the customer service department or the name, title, telephone number and e-mail address of the customer service contact person of the applicant, including toll free telephone numbers if available:

EPIQ Energy Rep Support & Customer Operations Office: 972-924-5642 Hours: M-F 9am – 6pm CST 5080 Spectrum Dr., Suite 600W Addison, TX 75001 repsupport@epigenergy.net

New Hampshire Public Utilities Commission (NHPUC) Natural Gas Aggregator Application EPIQ Energy, LLC

- (5) A copy of the applicant's authorization to do business in New Hampshire from the secretary of state, if anything other than an individual;Please see authorization attached.
- (6) Description of the geographic areas of New Hampshire in which the applicant intends to provide service:
  - a. Liberty Utilities / EnergyNorth
  - b. Unitil / Northern Utilities, Inc.
- (7) A statement that the applicant is not representing any supplier interest, or a listing of any supplier interest(s) the applicant intends to represent:
  EPIQ Energy, LLC intends to represent REP Energy, LLC in New Hampshire.
- (8) Payment of the required filing fee: Please see check enclosed in the amount of \$250.00, made payable to the State of New Hampshire.
- (9) The signature of the applicant or its representative. Please see signature page attached.





#### **SIGNATURE**

I, (print name and title)

declare that I have personally reviewed the above statements and that they are true and correct and complete in all material respects, to the best of my knowledge. I further declare that the information contained in this application was prepared and compiled under my supervision and control. I further declare that I am authorized by the applicant to file this application on its behalf.

| Dated this <u>1976</u><br>(day)             | _day of | <u>February</u> ,<br>(month) | <u>2015</u> at (year) | Dallas Xb<br>(place of execution) |  |
|---|---------|------------------------------|-----------------------|-----------------------------------|--|
|   |         | ()                           | ())                   | (prace of encounter)              |  |
| Signature: Which<br>Title: Sk VP Fundaments | A.      |                              |                       |                                   |  |
| Title: Jr VP Finance                        | + Ac    | conten                       |                       |                                   |  |
|   |         | /                            |                       |                                   |  |
| NOTARIZATION:                               |         |                              |                       |                                   |  |

Notarial Seal:



Date of Form: February XX, 2015



# $State \ of \ New \ Hampshire$

Filing fee:\$ 50.00Fee for Form SRA:\$ 50.00Total fees:\$100.00Use black print or type.

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Form FLLC-1 RSA 304-C:175

## APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS of the New Hampshire Limited Liability Company laws, the undersigned hereby applies for registration to transact business in New Hampshire and for that purpose submits the following statement:

FIRST: The name of the limited liability company is Epiq Energy, LLC

SECOND: The name which it proposes to register and do business in New Hampshire is \_\_\_\_\_\_ Epiq Energy, LLC

THIRD: It is formed under the laws of \_\_\_\_\_ Delaware

FOURTH: The date of its formation is \_\_\_\_\_ February 6, 2013

FIFTH: The nature of the business or purposes to be conducted or promoted in New Hampshire is \_\_\_\_\_\_ Network Marketing of Energy for Retail

SIXTH: The name of its registered agent in New Hampshire is Corporation Service Company d/b/a Lawyers Incorporating Service

and the street address, town/city (including zip code and post office box, if any) of its registered office is (agent's business address in New Hampshire) <u>14 Centre Street, Concord, New Hampshire</u> 03301

SEVENTH: The sale or offer for sale of any ownership interests in this business will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-B).

State of New Hampshire

Form FLLC 1 - Application for Foreign Registration FLLC 4 Page(s)



APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

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Form FLLC-1 (Cont.)

| *Signature:<br>Print or type name:  | Joyce Mason               |
|-------------------------------------|---------------------------|
| Title:                              | Secretary                 |
| Date signed:                        | January 21, 2015          |
| Complete address of person signing: | 1430 Broadway, Suite 1615 |

New York, NY 10018

To receive your ANNUAL REPORT REMINDER NOTICE by email, please enter your email address here: mini@idt.net

\* Shall be executed on behalf of the foreign limited liability company by a person with authority to do so under the laws of the state or other jurisdiction of its formation, or, if the foreign limited liability company is in the hands of a receiver, executor, or other court appointed fiduciary, trustee, or other fiduciary, it must be signed by that fiduciary.

DISCLAIMER: All documents filed with the Corporation Division become public records and will be available for public inspection in either tangible or electronic form.

Mail fees, <u>DATED AND SIGNED ORIGINAL AND FORM SRA</u> to: Corporation Division, Department of State, 107 North Main Street, Concord NH 03301-4989. Physical location: 25 Capitol Street, 3<sup>rd</sup> Floor, Concord, NH 03301.

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "EPIQ ENERGY, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-FIRST DAY OF JANUARY, A.D. 2015.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE BEEN PAID TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "EPIQ ENERGY, LLC" WAS FORMED ON THE SIXTH DAY OF FEBRUARY, A.D. 2013.



Jeffrey W. Bullock, Secretary of State

AUTHENTICATION: 2054049

5285492 8300

150075726 You may verify this certificate online at corp.delaware.gov/authver.shtml DATE: 01-21-15

#### Form SRA – Addendum to Business Organization and Registration Forms Statement of Compliance with New Hampshire Securities Laws

#### Part I – Business Identification and Contact Information

Business Name: Epiq Energy, LLC

Business Address (include city, state, zip): 550 BROAD STREET, NEWARK, NJ 07102

Telephone Number: (973) 438-4990 E-mail: \_\_\_\_\_ mini@idt.net

Contact Person: Mini Rivera

Contact Person Address (if different): Genie Energy Ltd., 1430 Broadway, Suite 1615, New York, NY 10018

**Part II – Check** <u>ONE</u> of the following items in Part II. If more than one item is checked, the form will be rejected. [*PLEASE NOTE:* Most small businesses registering in New Hampshire qualify for the exemption in Part II, Item 1 below. *However*, you must insure that your business meets all of the requirements spelled out in A), B), and C)]:

- 1. \_\_\_\_ Ownership interests in this business are exempt from the registration requirements of the state of New Hampshire because the business meets <u>ALL</u> of the following three requirements:
  - A) This business has 10 or fewer owners; and
  - B) Advertising relating to the sale of ownership interests has not been circulated; and
  - C) Sales of ownership interests if any will be completed within 60 days of the formation of this business.
- 2. \_\_\_\_ This business will offer securities in New Hampshire under another exemption from registration or will notice file for federal covered securities. Enter the citation for the exemption or notice filing claimed -
- 3. \_\_\_\_ This business has registered or will register its securities for sale in New Hampshire. Enter the date the registration statement was or will be filed with the Bureau of Securities Regulation \_\_\_\_\_
- 4. \_\_\_\_ This business was formed in a state other than New Hampshire and will not offer or sell securities in New Hampshire.

Part III - Check ONE of the following items in Part III:

- 1. **√** This business *is not being* formed in New Hampshire.
- 2. \_\_\_\_ This business *is* being formed in New Hampshire and the registration document states that any sale or offer for sale of ownership interests in the business will comply with the requirements of the New Hampshire Uniform Securities Act.

#### Part IV – Certification of Accuracy

(NOTE: The information in Part IV must be certified by: 1) <u>all</u> of the incorporators of a corporation to be formed; or 2) <u>an</u> executive officer of an existing corporation; or 3) <u>all</u> of the general partners or intended general partners of a limited partnership; or 4) <u>one or more</u> authorized members or managers of a limited liability company; or 5) <u>one or more</u> authorized partnership or foreign registered limited liability partnership.)

I (We) certify that the information provided in this form is true and complete. (Original signatures only)

| Name (print): | Joyce Mason | Signature: Jayan bom         |
|---------------|-------------|------------------------------|
|               |             | Date signed:January 21, 2015 |
| Name (print): |             | Signature:                   |
|               |             | Date signed:                 |
| Name (print): |             | Signature:                   |
|               |             | Date signed:                 |

NEW MAMPSHIRE



### **Corporation Division**

#### Receive your Annual Report Notice by email!

You asked and we delivered! To receive your Annual Report Reminder Notice by email, click <u>here</u> to complete the online request form.

**Who needs to file?** If your entity is registered as a Corporation, Limited Liability Company, Professional Corporation, Professional LLC, Limited Liability Partnership, New Hampshire Investment Trust, Consumer Cooperative, Cooperative Marketing and Rural Electrification Association, you need to file annually.

Note: You will need your Business Identification Number to enroll. If you do not have it handy, you may easily look it up by using our <u>Business name Lookup</u> tool.

| Search Type: Starting With | Search Criteria: epiq energy |
|----------------------------|------------------------------|
| Search Date: 2/19/2015     | Search Time: 15:28           |

Click on the Entity Name or Business ID to view more information.

| Entity Name      | Business<br>ID | Туре                         | Entity<br>Status | Entity<br>Creation<br>Date |  |
|------------------|----------------|------------------------------|------------------|----------------------------|--|
| Epiq Energy, LLC | 721232         | Limited Liability<br>Company | Good<br>Standing | 2/11/2015                  |  |
| Epiq Energy, LLC | 721232         | Limited Liability<br>Company | Good<br>Standing | 2/11/2015                  |  |

Records Returned 1 to 2

Important Note: The status reflected for each entity on this website only refers to the status of the entity's filing requirements with this office. It does not necessarily reflect the disciplinary status of the entity with any state agency. Requests for disciplinary information should be directed to agencies with licensing or other regulatory authority over the entity.